# Matching Vector Codes * 

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#### Abstract

An $(r, \delta, \epsilon)$-locally decodable code encodes a $k$-bit message $x$ to an $N$-bit codeword $C(x)$, such that for every $i \in[k]$, the $i$-th message bit can be recovered with probability $1-\epsilon$, by a randomized decoding procedure that reads only $r$ bits, even if the codeword $C(x)$ is corrupted in up to $\delta N$ locations.

Recently a new class of locally decodable codes, based on families of vectors with restricted dot products has been discovered. We refer to those codes as Matching Vector (MV) codes. Several families of $(r, \delta, \Theta(r \delta)$ )-locally decodable MV codes have been obtained. While codes in those families were shorter than codes of earlier generations, they suffered from having large values of $\epsilon=\Omega(r \delta)$. Codes with constant query complexity could only tolerate tiny amounts of error, and no MV codes of super-constant number of queries capable of tolerating a constant fraction of errors were known to exist.

In this paper we develop a new view of matching vector codes and uncover certain similarities between MV codes and classical Reed Muller codes. Our view allows us to obtain a deeper insight into power and limitations of MV codes. Specifically, 1. We show that existing families of MV codes can be enhanced to tolerate a nearly $1 / 8$ fraction of errors, independent of the value of $r$. Such enhancement comes at a price of a moderate increase in the number of queries; 2. Our construction yields the first families of matching vector codes of super-constant query complexity that can tolerate a constant fraction of errors. Our codes are shorter than Reed Muller LDCs for all values of $r \leq \log k /(\log \log k)^{c}$, for some constant $c$; 3. We show that any MV code encodes messages of length $k$ to codewords of length at least $k 2^{\Omega(\sqrt{\log k})}$. Therefore MV codes do not improve upon Reed Muller locally decodable codes for $r \geq(\log k)^{\Omega(\sqrt{\log k)}}$.


[^0]
## 1 Introduction

Classical error-correcting codes allow one to encode a $k$-bit message $x$ into an $N$-bit codeword $C(x)$, in such a way that $x$ can still be recovered even if $C(x)$ gets corrupted in a number of coordinates. The disadvantage of classical error-correction is that one needs to consider all or most of the (corrupted) codeword to recover anything about $x$. Now suppose that one is only interested in recovering one or a few bits of $x$. In such case more efficient schemes are possible. Such schemes are known as Locally Decodable Codes (LDCs). Locally decodable codes allow reconstruction of an arbitrary bit $x_{i}$, from looking only at $r$ randomly chosen coordinates of $C(x)$. While initial applications of locally decodable codes have been to data transmission and storage, later they have found applications in other areas such as complexity theory and cryptography. See [Yek10, Yek07, Tre04, Gas04] for surveys. Below is a slightly informal definition of LDCs:

An $(r, \delta, \epsilon)$-locally decodable code encodes $k$-bit messages $x$ to $N$-bit codewords $C(x)$, such that for every $i \in[k]$, the bit $x_{i}$ can be recovered with probability $1-\epsilon$, by a randomized decoding procedure that makes only $r$ queries, even if the codeword $C(x)$ is corrupted in up to $\delta N$ locations.

We would like to have LDCs that have small values of $r, N$ and $\epsilon$ and a large value of $\delta$. However typically the parameters are not regarded as equally important. In applications of LDCs to data transmission and storage one wants $\delta$ to be a large constant, (ideally close to $1 / 4$ ), and the codeword length $N$ to be small. At the same time the exact number of queries $r$ is not very important provided that it is much smaller than $k$. Similarly the exact value of $\epsilon<1 / 2$ is not important since one can easily amplify $\epsilon$ to be close to 0 , by running the decoding procedure few times and taking a majority vote. In applications of LDCs in cryptography one thinks of $\delta>0$ and $\epsilon<1 / 2$ as constants whose values are of low significance and focuses on the trade-off between $r$ and $N$, with emphasis on very small values of $r$ such as $r=3$ or $r=4$.

### 1.1 History of locally decodable codes

The notion of locally decodable codes was explicitly discussed in various places in the early 1990s, most notably in [BFLS91, Sud92, PS94]. Katz and Trevisan [KT00] were the first to provide a formal definition of LDCs and prove lower bounds on their length. Their bounds were improved in [GKST02, KdW04] where a tight (exponential) lower bound for the length of 2-query LDCs was obtained. Further lower bounds on the length of LDCs were obtained in [WdW05, Woo07, DJK ${ }^{+} 02$, Oba02]. The best lower bounds for the length of $r$-query LDCs currently have the form $\tilde{\Omega}\left(n^{1+1 /([r / 2]-1)}\right)$ [Woo07]. They are very far form matching the best upper bounds.

One can informally classify the known families of locally decodable codes into three generations based on the technical ideas that underlie them. The first generation captures codes based on the idea of (low-degree) multivariate polynomial interpolation. All such codes [BFLS91, KT00, BIK05, CGKS98, Amb97, Ito99] are (directly or indirectly) based on classical Reed Muller (RM) codes [MS77, vL82]. The code consists of evaluations of low degree polynomials in $\mathbb{F}_{q}\left[z_{1}, \ldots, z_{n}\right]$, at all points of $\mathbb{F}_{q}^{n}$, for some finite field $\mathbb{F}_{q}$. The decoder recovers the value of the unknown polynomial at a point by shooting a line in a random direction and decoding along it using noisy polynomial interpolation. The method behind these constructions is very general. It yields locally decodable codes of all possible query complexities, (i.e., one can choose $r$ to be an arbitrary non-decreasing function of $k$ ) that tolerate a constant fraction of errors. (We say that an $r$-query code $C$ tolerates $\delta$ fraction of errors if $C$ is ( $r, \delta, \epsilon$ )-locally decodable for some $\epsilon<1 / 2$.)

The second generation of LDCs [BIKR02] (and also [WY05]) combined the earlier ideas of polynomial interpolation with a clever use of recursion to show that Reed-Muller type codes are not the shortest possible for constant values of query complexity $r \geq 3$. Codes of the second generation are ( $r, \delta, \Theta(r \delta)$ )-locally decodable. Thus the fraction of noise handled by these codes decays rapidly with $r$. No LDCs of the second generation with $r=\omega(1)$ and $\delta=\Omega(1)$ are known to exist.

The latest (third) generation of LDCs [Yek08, Rag07, KY09, Efr09, IS08] was initiated in [Yek08]. New codes are obtained through an argument involving a mixture of combinatorial and algebraic ideas, where the key ingredient is a design of a large family of low dimensional (matching) vectors with constrained dot products. In what follows we refer to LDCs of the third generation as Matching Vector (MV) codes. Several families of $(r, \delta, \Theta(r \delta))$-locally decodable MV codes have been obtained. While codes in those families were dramatically shorter than codes of earlier generations, similarly to codes of [BIKR02, WY05] they suffered from having large values of $\epsilon=\Omega(r \delta)$. Codes with constant query complexity could only tolerate tiny amounts of error, and no MV codes with $r=\omega(1)$ capable of tolerating a constant fraction of errors were known to exist.

### 1.2 Our results

In this work we develop a new view of matching vector codes and uncover certain similarities between MV codes and classical Reed Muller codes. Our view allows us to obtain a deeper insight into the power of MV codes. We show that existing families of MV codes can be enhanced to tolerate a nearly $1 / 8$ fraction of errors, independent of the value of $r$, at a price of a moderate increase in the number of queries ${ }^{1}$. Specifically,

1. For every constant $t \geq 2$, we obtain a family of $\left(t^{O(t)}, \delta, 4 \delta(1+O(1 / \ln t))\right)$-locally decodable codes of length essentially identical to the length of the shortest known $\left(2^{O(t)}, \delta, 2^{O(t)} \delta\right)$-locally decodable codes of [Efr09, IS08].
2. We obtain the first families of matching vector codes of super-constant query complexity that can tolerate a constant fraction of errors, close to $1 / 8$. Our codes are shorter than Reed Muller LDCs for all values of $r \leq \log k /(\log \log k)^{c}$, for some constant $c$.

We also obtain a new family of MV codes, that matches the parameters of RM codes for $r=\Theta(\log k \log \log k)$.

Parameters of an MV code are determined by the parameters of the underlying family of matching vectors. We obtain bounds on the parameters of such families and conclude that any MV code encodes messages of length $k$ to codewords of length at least $k 2^{\Omega(\sqrt{\log k)}}$. Therefore MV codes do not improve upon Reed Muller locally decodable codes for $r \geq(\log k)^{\Omega(\sqrt{\log k})}$.

### 1.3 Our techniques

Our constructions are centered around a new view of MV codes that fleshes out some intrinsic similarities between MV codes and RM codes. In our view an MV code consists of a linear subspace of polynomials in $\mathbb{F}_{q}\left[z_{1}, \ldots, z_{n}\right]$, evaluated at all points of $\mathbb{C}_{m}^{n}$, where $\mathbb{C}_{m}$ is a certain multiplicative

[^1]subgroup of $\mathbb{F}_{q}^{*}$. The decoding algorithm is similar to traditional local decoders for RM codes. The decoder shoots a line in a certain direction and decodes along it. The difference is that the monomials which are used are not of low-degree, they are chosen according to a matching family of vectors. (Two collections of vectors $\mathcal{U}, \mathcal{V} \subseteq \mathbb{Z}_{m}^{n}$ form a matching family if for every $\mathbf{u}_{i} \in \mathcal{U}$ there is a unique $\mathbf{v}_{i} \in \mathcal{V}$ such that $\left(\mathbf{u}_{i}, \mathbf{v}_{i}\right)=0$, while other dot products $\left(\mathbf{u}_{i}, \mathbf{v}_{j}\right)$ belong to a small set $S \subseteq \mathbb{Z}_{m} \backslash\{0\}$.) Further, the lines for decoding are multiplicative.

Constructions of locally decodable codes from matching vectors have previously been considered in [Yek08] and [Rag07, Efr09, IS08]. In this work we show that if the family of matching vectors underling the MV code is bounded (meaning that dot products between all vectors $\mathbf{u} \in \mathcal{U}$ and $\mathbf{v} \in \mathcal{V}$ are small in $\mathbb{Z}_{m}$ with respect to the natural total ordering); then the restriction of a codeword of the MV code to a multiplicative line yields an evaluation of a low degree polynomial. Therefore one can apply existing techniques for noisy polynomial interpolation in the decoding process and tolerate a large fraction of errors. We further argue that the currently best known families of matching vectors (due to Grolmusz [Gro00]) can be turned into bounded, as well as obtain a new bounded matching family.

We also initiate a systematic study of families of matching vectors and prove upper bounds on their sizes. For the case when $m=p$ is a prime, our bounds are obtained by using the expansion of hyperplanes in $\mathbb{Z}_{p}^{n}$ when viewed as a collection of points. This bound beats the linear-algebra bound when the dimension $n$ is small. Our bounds for composites are obtained via reductions to the prime case. These bounds in turn imply that any matching vector code must stretch messages of length $k$ to codewords of length $k 2^{\Omega(\sqrt{\log k})}$ for large enough $k$, regardless of the query complexity.

### 1.4 Outline

We start section 3 with formal definitions of locally decodable codes and matching families of vectors. We introduce the concept of a bounded matching family and show how any such family yields an LDC tolerating a large fraction of errors. In section 4 we present two constructions of bounded matching families. In section 5 we put the results of sections 3 and 4 together to obtain new upper bounds on the length of MV codes. In section 6 we obtain a collection of upper bounds on the size of matching families of vectors. In section 7 we translate the results of section 6 into lower bounds on the length of MV codes.

## 2 Notation

We use the following standard mathematical notation:

- $[k]=\{1, \ldots, k\} ;$
- $\mathbb{F}_{q}$ is a finite field of $q$ elements. $\mathbb{F}_{q}^{*}$ is the multiplicative group of $\mathbb{F}_{q}$;
- $\mathbb{Z}_{m}$ is a ring of integers modulo an integer $m . \mathbb{Z}_{m}^{*}$ is the set of invertible elements of $\mathbb{Z}_{m}$;
- $d(\mathbf{x}, \mathbf{y})$ denotes the Hamming distance between vectors $\mathbf{x}$ and $\mathbf{y}$;
- ( $\mathbf{u}, \mathbf{v})$ stands for the dot product of vectors $\mathbf{u}$ and $\mathbf{v}$;
- For a vector $\mathbf{w} \in \mathbb{Z}_{m}^{n}$ and an integer $l \in[n]$ let $\mathbf{w}(l)$ denote the $l$-th coordinate of $\mathbf{w}$;
- A $D$-evaluation of a function $f$ defined over $D$, is a vector of values of $f$ at all points of $D$.
- Throughout the paper all constant in $O$ and $\Omega$ notation are absolute;
- We write $\exp (x)$ to denote $2^{O(x)}$.


## 3 Matching vector codes: the framework

In this section we formally define locally decodable codes and matching families of vectors. We review the existing construction of LDCs from matching families, casting it in a new language that makes explicit certain intrinsic similarity between MV codes and RM codes. Next we introduce the concept of a bounded matching family and show how MV codes based on bounded matching families can be decoded from large amounts of error.

Definition 1 A q-ary code $C: \mathbb{F}_{q}^{k} \rightarrow \mathbb{F}_{q}^{N}$ is said to be $(r, \delta, \epsilon)$-locally decodable if there exists a randomized decoding algorithm $\mathcal{A}$ such that

1. For all $x \in \mathbb{F}_{q}^{k}, i \in[k]$ and $y \in \mathbb{F}_{q}^{N}$ such that $d(C(x), y) \leq \delta N: \operatorname{Pr}\left[\mathcal{A}^{y}(i)=x_{i}\right] \geq 1-\epsilon$, where the probability is taken over the random coin tosses of the algorithm $\mathcal{A}$.
2. $\mathcal{A}$ makes at most $r$ queries to $y$.

A locally decodable code is called linear if $C$ is a linear transformation over $\mathbb{F}_{q}$. Our constructions of locally decodable codes are linear. While our main interest is in codes over $\mathbb{F}_{2}$ we deal with codes over larger alphabets as well.

Definition 2 Let $S \subseteq \mathbb{Z}_{m} \backslash\{0\}$. We say that subsets $\mathcal{U}=\left\{\mathbf{u}_{1}, \ldots, \mathbf{u}_{k}\right\}$ and $\mathcal{V}=\left\{\mathbf{v}_{1}, \ldots, \mathbf{v}_{k}\right\}$ of vectors in $\mathbb{Z}_{m}^{n}$ form an $S$-matching family if the following conditions are satisfied:

- For all $i \in[k],\left(\mathbf{u}_{i}, \mathbf{v}_{i}\right)=0$;
- For all $i, j \in[k]$ such that $i \neq j,\left(\mathbf{u}_{j}, \mathbf{v}_{i}\right) \in S$.

We now show how one can obtain an MV code out of a matching family. We start with some notation.

- We assume that $q$ is a prime power, $m$ divides $q-1$, and denote a subgroup of $\mathbb{F}_{q}^{*}$ of order $m$ by $\mathbb{C}_{m}$;
- We fix some generator $g$ of $\mathbb{C}_{m}$;
- For $\mathbf{w} \in \mathbb{Z}_{m}^{n}$, we define $g^{\mathbf{w}} \in \mathbb{C}_{m}^{n}$ by $\left(g^{\mathbf{w}(1)}, \ldots, g^{\mathbf{w}(n)}\right)$;
- For $\mathbf{w}, \mathbf{v} \in \mathbb{Z}_{m}^{n}$ we define the multiplicative line $M_{\mathbf{w}, \mathbf{v}}$ through $\mathbf{w}$ in direction $\mathbf{v}$ to be the multi-set

$$
\begin{equation*}
M_{\mathbf{w}, \mathbf{v}}=\left\{g^{\mathbf{w}+\lambda \mathbf{v}} \mid \lambda \in \mathbb{Z}_{m}\right\} \tag{1}
\end{equation*}
$$

- For $\mathbf{u} \in \mathbb{Z}_{m}^{n}$, we define the monomial $\operatorname{mon}_{\mathbf{u}}$ in the $\operatorname{ring} \mathbb{F}_{q}\left[z_{1}, \ldots, z_{n}\right]$ by

$$
\begin{equation*}
\operatorname{mon}_{\mathbf{u}}\left(\mathrm{z}_{1}, \ldots, \mathrm{z}_{\mathrm{n}}\right)=\prod_{\mathrm{l} \in[\mathrm{n}]} \mathrm{z}_{\mathrm{l}}^{\mathbf{u}(\mathrm{l})} \tag{2}
\end{equation*}
$$

Clearly for any $\mathbf{w}, \mathbf{u}, \mathbf{v} \in \mathbb{Z}_{m}^{n}$ and $\lambda \in \mathbb{Z}_{m}$ we have

$$
\begin{equation*}
\operatorname{mon}_{\mathbf{u}}\left(\mathrm{g}^{\mathbf{w}+\lambda \mathbf{v}}\right)=\mathrm{g}^{(\mathbf{u}, \mathbf{w})}\left(\mathrm{g}^{\lambda}\right)^{(\mathbf{u}, \mathbf{v})} . \tag{3}
\end{equation*}
$$

The formula above implies that the $M_{\mathbf{w}, \mathbf{v}}$-evaluation of a monomial mon $_{\mathbf{u}}$ is a $\mathbb{C}_{m}$-evaluation of a (univariate) monomial

$$
\begin{equation*}
g^{(\mathbf{u}, \mathbf{w})} y^{(\mathbf{u}, \mathbf{v})} \in \mathbb{F}_{q}[y] . \tag{4}
\end{equation*}
$$

This observation is the foundation of our decoding algorithms. We now specify the encoding procedure and outline the main steps of decoding procedures (propositions 3, 7, and 8). Let $\mathcal{U}, \mathcal{V}$ be an $S$-matching family in $\mathbb{Z}_{m}^{n}$.

Encoding: We encode a message $\left(x_{1}, \ldots, x_{k}\right) \in \mathbb{F}_{q}^{k}$ by the $\mathbb{C}_{m}^{n}$-evaluation of the polynomial

$$
\begin{equation*}
F\left(z_{1}, \ldots, z_{n}\right)=\sum_{j=1}^{k} x_{j} \operatorname{mon}_{\mathbf{u}_{j}} . \tag{5}
\end{equation*}
$$

Basic decoding: The input to the decoder is a (corrupted) $\mathbb{C}_{m}^{n}$-evaluation of $F$ and an index $i \in[k]$.

1. The decoder picks $\mathbf{w} \in \mathbb{Z}_{m}^{n}$ uniformly at random;
2. The decoder recovers the noiseless restriction of $F$ to $M_{\mathbf{w}, \mathbf{v}_{i}}$. To accomplish this the decoder may query the (corrupted) $M_{\mathbf{w}, \mathbf{v}_{i}}$-evaluation of $F$ at $m$ or fewer locations.

To see that noiseless $M_{\mathbf{w}, \mathbf{v}_{i}}$-evaluation of $F$ uniquely determines $x_{i}$ note that by formulas (3), (4) and (5) the $M_{\mathbf{w}, \mathbf{v}_{i}}$-evaluation of $F$ is a $\mathbb{C}_{m}$-evaluation of a polynomial

$$
\begin{equation*}
f(y)=\sum_{j=1}^{k} x_{j} g^{\left(\mathbf{u}_{j}, \mathbf{w}\right)} y^{\left(\mathbf{u}_{j}, \mathbf{v}_{i}\right)} \in \mathbb{F}_{q}[y] . \tag{6}
\end{equation*}
$$

Further observe that the properties of the $S$-matching family $\mathcal{U}, \mathcal{V}$ and (6) yield

$$
\begin{equation*}
f(y)=x_{i} g^{\left(\mathbf{u}_{i}, \mathbf{w}\right)}+\sum_{s \in S}\left(\sum_{j:\left(\mathbf{u}_{j}, \mathbf{v}_{i}\right)=s} x_{j} g^{\left(\mathbf{u}_{j}, \mathbf{w}\right)}\right) y^{s} . \tag{7}
\end{equation*}
$$

It is evident from the above formula that

$$
\begin{equation*}
x_{i}=f(0) / g^{\left(\mathbf{u}_{i}, \mathbf{w}\right)} . \tag{8}
\end{equation*}
$$

We now formally consider several local decoders that follow the general paradigm outlined above. The proposition below gives the simplest local decoder. In the current form it has first appeared in [Efr09]. Earlier versions can be found in [Yek08, Rag07].

Proposition 3 Let $\mathcal{U}, \mathcal{V}$ be a family of $S$-matching vectors in $\mathbb{Z}_{m}^{n},|\mathcal{U}|=|\mathcal{V}|=k,|S|=s$. Suppose $m \mid q-1$, where $q$ is a prime power; then there exists a $q$-ary linear code encoding $k$-long messages to $m^{n}$-long codewords that is $(s+1, \delta,(s+1) \delta)$-locally decodable for all $\delta$.

Proof: The encoding procedure has already been specified by formula (5). To recover the value $x_{i}$

1. The decoder picks $\mathbf{w} \in \mathbb{Z}_{m}^{n}$ at random, and queries the (corrupted) $M_{\mathbf{w}, \mathbf{v}_{i}}$-evaluation of $F$ at $(s+1)$ consecutive locations $\left\{g^{\mathbf{w}+\lambda \mathbf{v}} \mid \lambda \in\{0, \ldots, s\}\right\}$ to obtain values $c_{0}, \ldots, c_{s}$.
2. The decoder recovers the unique sparse univariate polynomial $h(y) \in \mathbb{F}_{q}[y]$ with exponents in the set $\{0\} \cup S$ such that for all $\lambda \in\{0, \ldots, s\}, h\left(g^{\lambda}\right)=c_{\lambda}$. (The uniqueness of $h(y)$ follows from standard properties of Vandermonde matrices.)
3. Following the formula (8) the decoder returns $h(0) / g^{\left(\mathbf{u}_{i}, \mathbf{w}\right)}$.

The discussion above implies that if all $(s+1)$ locations queried by the decoder are not corrupted then $h(y)$ is indeed the noiseless restriction of $F$ to $M_{\mathbf{w}, \mathbf{v}_{i}}$, and decoder's output is correct. It remains to note that each individual query of the decoder goes to a uniformly random location and apply the union bound.

Remark 4 In the proposition above we interpolate the polynomial $h(y)$ to recover its free coefficient. In certain cases (relying on special properties of the integer $m$ and the set $S$ ) it may be possible to recover the free coefficient in ways that do not require complete interpolation and thus save on the number of queries. This general idea has been used in [Yek08], [Efr09] for the case of three-query codes, and in [IS08].

We now introduce the concept of a bounded matching family of vectors and show how MV codes based on bounded matching families can be decoded from large amounts of error. In what follows we identify $\mathbb{Z}_{m}$ with the subset $\{0, \ldots, m-1\}$ or real numbers. This imposes a total ordering on $\mathbb{Z}_{m}, 0<1<\ldots<m-1$ and allows us to compare elements of $\mathbb{Z}_{m}$ with reals.

Definition 5 Let $b$ be a positive real. $A$ set $S \subseteq \mathbb{Z}_{m}$ is b-bounded if for all $s \in S, s<b$.
Definition 6 Let b be a positive real. An $S$-matching family $\mathcal{U}, \mathcal{V}$ in $\mathbb{Z}_{m}^{n}$ is b-bounded if the set $S$ is $b$-bounded.

Proposition 7 Let $\sigma$ be a positive real. Let $\mathcal{U}, \mathcal{V}$ be a $\sigma m$-bounded family of $S$-matching vectors in $\mathbb{Z}_{m}^{n},|\mathcal{U}|=|\mathcal{V}|=k$. Suppose $m \mid q-1$, where $q$ is a prime power; then there exists a $q$-ary linear code encoding $k$-long messages to $m^{n}$-long codewords that is $(m, \delta, 2 \delta /(1-\sigma))$-locally decodable for all $\delta$.

Proof: The encoding procedure has already been specified by formula (5). To recover the value $x_{i}$

1. The decoder picks $\mathbf{w} \in \mathbb{Z}_{m}^{n}$ at random, and queries every point of the (corrupted) $M_{\mathbf{w}, \mathbf{v}_{i}}{ }^{-}$ evaluation of $F$ at all $m$ locations $\left\{g^{\mathbf{w}+\lambda \mathbf{v}} \mid \lambda \in \mathbb{Z}_{m}\right\}$ to obtain values $c_{0}, \ldots, c_{m-1}$.
2. The decoder recovers the univariate polynomial $h(y) \in \mathbb{F}_{q}[y]$ of degree less than $\sigma m$ such that for all but at most $(m-\sigma m) / 2$ values of $\lambda \in \mathbb{Z}_{m}, h\left(g^{\lambda}\right)=c_{\lambda}$. (If such an $h$ does not exist the decoder encounters a failure, and returns 0 . Note that $\operatorname{deg} h<\sigma m$ implies that $h(y)$ is unique, if it exists. The search for $h(y)$ can be done efficiently using the Berlekamp-Welch algorithm [MS77].)
3. Following the formula (8) the decoder returns $h(0) / g^{\left(\mathbf{u}_{i}, \mathbf{w}\right)}$.

The discussion above implies that if the $M_{\mathbf{w}, \mathbf{v}_{i}}$-evaluation of $F$ is corrupted in at most ( $m-$ $\sigma m) / 2$ locations, then $h(y)$ is indeed the noiseless restriction of $F$ to $M_{\mathbf{w}, \mathbf{v}_{i}}$, and the decoder's output is correct. It remains to note that each individual query of the decoder goes to a uniformly random location and thus by Markov's inequality the probability that more than $(m-\sigma m) / 2$ of decoder's queries go to corrupted locations is at most $2 \delta /(1-\sigma)$.

Parameters of the MV code constructed above do not depend on the size of the set $S$. The next proposition shows that in cases when $|S|$ is small (and $\ln q$ is small relative to $m$ ) one can get a saving in query complexity.

Proposition 8 Let $\sigma$ be a positive real. Let $\mathcal{U}, \mathcal{V}$ be a $\sigma m$-bounded family of $S$-matching vectors in $\mathbb{Z}_{m}^{n},|\mathcal{U}|=|\mathcal{V}|=k,|S|=s$. Suppose $m \mid q-1$, where $q$ is a prime power; then there exists a $q$-ary linear code encoding $k$-long messages to $m^{n}$-long codewords that is $\left(\left\lceil(s+2) \ln q / \alpha^{2}\right\rceil, \delta, 2 \delta /(1-\sigma-\alpha)\right)$ locally decodable for all $\alpha, \delta$.

Proof: Our decoding algorithm is similar to the one of proposition 7. The saving in the number of queries comes from the fact that the decoder does not query all points on the multiplicative line but rather partitions the line into classes, and queries all points within a certain class. Our proof consists of two parts. Firstly, we establish the existence of an appropriate partition. Secondly, we present the decoding algorithm. We start with some notation. Let $\alpha>0$ be fixed.

- Let $L \subseteq F_{q}[y]$ be the linear space of polynomials whose exponents belong to $\{0\} \cup S$;
- Let $T \subseteq \mathbb{Z}_{m}$. We say that $T$ is $\alpha$-regular, if for all $h \in L$ we have

$$
\begin{equation*}
\left|T \cap\left\{\lambda \in \mathbb{Z}_{m} \mid h\left(g^{\lambda}\right)=0\right\}\right|<(\sigma+\alpha)|T| ; \tag{9}
\end{equation*}
$$

- Let $t \leq m$ be a fixed positive integer. Let $\pi$ be a partition of $\mathbb{Z}_{m}$ into $p=\lfloor m / t\rfloor$ classes where each class is of size $t$ or more

$$
\begin{equation*}
\mathbb{Z}_{m}=\bigsqcup_{l=1}^{p} \pi_{l} ; \tag{10}
\end{equation*}
$$

- We say that $\pi$ is $\alpha$-regular, if for each $l \in[p], \pi_{l}$, is $\alpha$-regular.

We now argue that for a sufficiently large $t$, there exists a partition $\pi$ satisfying (10) that is $\alpha$-regular. Fix an arbitrary non-zero polynomial $h \in L$. Let $W=\left\{\lambda \in \mathbb{Z}_{m} \mid h\left(g^{\lambda}\right)=0\right\}$. Clearly, $|W|<\sigma m$. Fix $t^{\prime} \geq t$ and pick a set $T \subseteq \mathbb{Z}_{m}$ of size exactly $t^{\prime}$ uniformly at random.

$$
\begin{gather*}
\operatorname{Pr}\left[|T \cap W| \geq(\sigma+\alpha) t^{\prime}\right]=\operatorname{Pr}\left[|T \cap W|-\sigma t^{\prime} \geq \alpha t^{\prime}\right] \leq \\
\quad \operatorname{Pr}\left[|T \cap W|-\mathbb{E}(|T \cap W|)>\alpha t^{\prime}\right] \leq \exp \left(-2 \alpha^{2} t\right), \tag{11}
\end{gather*}
$$

where the last inequality follows from [DP09, theorem 5.3].
Now let $t=\left\lceil(s+2) \ln q / 2 \alpha^{2}\right\rceil$. If $t>m$; then the proposition trivially follows from the proposition 7 . We assume $t \leq m$ and pick $\pi$ to be a random partition satisfying (10). Clearly, no class
in $\pi$ has size more than $2 t-1$. Relying on (11), the union bound, and $m / t<q$ we conclude that $\pi$ is $\alpha$-regular with positive probability since

$$
\begin{equation*}
(m / t)\left(q^{(s+1)}-1\right)<e^{2 \alpha^{2} t} \tag{12}
\end{equation*}
$$

Fix an $\alpha$-regular $\pi$. We are now ready to define the code. The encoding procedure has already been specified by formula (5). To recover the value $x_{i}$

1. The decoder picks $\mathbf{w} \in \mathbb{Z}_{m}^{n}$ and $l \in[p]$ uniformly at random, and queries points of the (corrupted) $M_{\mathbf{w}, \mathbf{v}_{i}}$-evaluation of $F$ at $\left|\pi_{l}\right|$ locations $\left\{g^{\mathbf{w}+\lambda \mathbf{v}} \mid \lambda \in \pi_{l}\right\}$ to obtain values $\left\{c_{\lambda} \mid \lambda \in \pi_{l}\right\}$.
2. The decoder recovers the univariate polynomial $h(y) \in \mathbb{F}_{q}[y]$ with exponents in the set $\{0\} \cup S$ such that for all but at most $(1-\sigma-\alpha)\left|\pi_{l}\right| / 2$ values of $\lambda \in \pi_{l}, h\left(g^{\lambda}\right)=c_{\lambda}$. (If such an $h$ does not exist the decoder encounters a failure, and returns 0 . Note that the properties of $\pi$ imply that $h(y)$ is unique, if it exists. )
3. Following the formula (8) the decoder returns $h(0) / g^{\left(\mathbf{u}_{i}, \mathbf{w}\right)}$.

The discussion above implies that if at most $(1-\sigma-\alpha)\left|\pi_{l}\right| / 2$ locations queried by the decoder are corrupted; then $h(y)$ is indeed the noiseless restriction of $F$ to $M_{\mathbf{w}, \mathbf{v}_{i}}$, and the decoder's output is correct. It remains to note that each individual query of the decoder goes to a uniformly random location and thus by Markov's inequality the probability that more than $(1-\sigma-\alpha)\left|\pi_{l}\right| / 2$ queries go to corrupted locations is at most $2 \delta /(1-\sigma-\alpha)$, and to observe that the total number of queries is at most $2 t-1$.

Propositions 7 and 8 yield non-binary locally decodable codes. As we remarked earlier our main interest is in binary LDCs. The next lemma extends proposition 7 to produce binary codes. The idea behind the proof is fairly standard.

Lemma 9 Let $\sigma$ be a positive real. Let $\mathcal{U}, \mathcal{V}$ be a $\sigma m$-bounded family of S-matching vectors in $\mathbb{Z}_{m}^{n}$, $|\mathcal{U}|=|\mathcal{V}|=k$. Suppose $m \mid q-1$, where $q=2^{b}$. Further suppose that there exists a binary linear code $C_{\mathrm{inner}}$ of distance $\mu B$ encoding b-bit messages to $B$-bit codewords; then there exists a binary linear code $C$ encoding $k b$-bit messages to $m^{n} B$-bit codewords that is ( $m B, \delta, 2 \delta /(\mu-\mu \sigma)$ )-locally decodable for all $\delta$.

Proof: Observe that the condition of the lemma is strictly stronger than the condition of proposition 7 . Thus the implication of proposition 7 holds. Let $C_{\text {outer }}$ be the $2^{b}$-ary $(m, \delta, 2 \delta /(1-\sigma))$-LDC encoding $k$-long messages to $m^{n}$-long codewords. We define the code $C$ to be the concatenation [MS77, vL82] of $C_{\text {outer }}$ and $C_{\text {inner }}$. In order to decode a single bit, the decoder recovers the symbol of the large alphabet that the bit falls into.

Recall that in order to recover a single coordinate of the message the decoder of $C_{\text {outer }}$ queries the corrupted encoding at $m$ points of a multiplicative line, and than solves the Reed Solomon decoding problem (i.e., finds the unique univariate polynomial of degree less than $\sigma m$ that agrees with the observed sequence of values in all but at most $(1-\sigma) m / 2$ locations $)$.

The decoder of $C$ acts similarly. Firstly, it entirely reads $m$ corrupted $B$-bit codewords of the inner code that store (encoded) coordinate values of the outer code along a randomly chosen multiplicative line. Secondly, it decodes a binary code that is a concatenation of a Reed Solomon code of degree less then $\sigma m$ over $\mathbb{F}_{2^{b}}$ and a binary code $C_{\text {inner }}$ up to half of its minimum distance.

Decoding is correct provided that the total number of errors in $m B$ locations read is at most $(1-\sigma) \mu m B / 2$. Decoding can be done efficiently provided that $C_{\text {inner }}$ has an efficient decoder.

It remains to note that each individual query of the decoder goes to a uniformly random location and thus by Markov's inequality the probability that more than $(1-\sigma) \mu m B / 2$ of decoder's queries go to corrupted locations is at most $2 \delta /(\mu-\mu \sigma)$.

Proposition 7 allows one to obtain LDCs over large alphabets that tolerate $\delta$ up to $1 / 4$. Lemma 9 allows one to obtain binary LDCs that tolerate $\delta$ up to $1 / 8$.

## 4 Matching vectors: constructions

In this section we present two constructions of bounded matching families of vectors (lemmas 13 and 14). Later in section 5 we use the first family of obtain MV codes that improve upon LDCs of [Efr09, IS08] in terms of the amount of noise that that can tolerate, and improve upon classical $r$ query RM LDCs in terms of codeword length for all $r \leq \log k /(\log \log k)^{c}$. We use the second family to obtain codes that (roughly) match RM LDCs for $r=\Theta(\log k \log \log k)$. Our first construction is based on an existing matching family due to Grolmusz [Gro00]. We argue that an appropriate scaling turns Grolmusz's family into bounded.

Definition 10 Let $m=\prod_{i=1}^{t} p_{i}$ be a product of distinct primes. A canonical set in $\mathbb{Z}_{m}$ is the set of all non-zero $s$ such that for every $i \in[t], s \in\{0,1\} \bmod p_{i}$.

Basic parameters of Grolmusz's family are given by the following lemma. The lemma (as stated below) is new. The proof is modeled along the lines of Grolmusz's construction of a set system with restricted intersections modulo composites [Gro00, Gro02]. We defer the proof to appendix.

Lemma 11 Let $m=\prod_{i=1}^{t} p_{i}$ be a product of distinct primes. Let $w$ be a positive integer. Suppose integers $\left\{e_{i}\right\}, i \in[t]$ are such that for all $i$, we have $p_{i}^{e_{i}}>w^{1 / t}$. Let $d=\max _{i} p_{i}^{e_{i}}$, and $h \geq w$ be arbitrary. Let $S$ be the canonical set; then there exists an $\binom{h}{w}$-sized family of $S$-matching vectors in $\mathbb{Z}_{m}^{n}$, where $n=\binom{h}{\leq d}$.

We now argue that a canonical set can be turned into a bounded one via scaling by an invertible element.

Lemma 12 Let $m=\prod_{i=1}^{t} p_{i}$ be a product of distinct primes. Let $S$ be the canonical set in $\mathbb{Z}_{m}$. There exists an $\alpha \in \mathbb{Z}_{m}^{*}$ such that the set $\alpha S$ is $\sigma m$-bounded for any $\sigma>\sum_{i \in[t]} 1 / p_{i}$.

Proof: We start with some notation.

- For every $i \in[t]$, define the integer $\hat{p}_{i}=m / p_{i}$;
- Let $\alpha \in \mathbb{Z}_{m}^{*}$ be the unique element such that for all $i \in[t], \alpha=\hat{p}_{i} \bmod p_{i}$.

Observe that for any $i, j \in[t]$,

$$
\left(\alpha^{-1} \hat{p}_{i}\right) \bmod p_{j}= \begin{cases}1, & \text { if } \mathrm{i}=\mathrm{j} ; \\ 0, & \text { otherwise }\end{cases}
$$

Let $s \in S$ be arbitrary. Set $I=\left\{i \in[t] \mid p_{i}\right.$ does not divide s $\}$. Observe that $s=\alpha^{-1} \sum_{i \in I} \hat{p_{i}}$. Therefore

$$
\alpha s=\sum_{i \in I} \hat{p}_{i} \leq m \sum_{i \in[t]} 1 / p_{i} .
$$

The lemma above implies that any $S$-matching family $\mathcal{U}, \mathcal{V}$ where $S$ is the canonical set can be turned into a bounded one (by scaling all vectors in $\mathcal{V}$ by an invertible element). Combining it with lemma 11 we obtain

Lemma 13 Let $m=\prod_{i=1}^{t} p_{i}$ be a product of distinct primes. Let $w$ be a positive integer. Suppose integers $\left\{e_{i}\right\}, i \in[t]$ are such that for all $i$, we have $p_{i}^{e_{i}}>w^{1 / t}$. Let $d=\max _{i} p_{i}^{e_{i}}$, and $h \geq w$ be arbitrary. Then there exists an $\binom{h}{w}$-sized $\sigma m$-bounded family of matching vectors in $\mathbb{Z}_{m}^{n}$, where $n=\binom{h}{\leq d}$ and $\sigma$ is an arbitrary real number larger than $\sum_{i \in[t]} 1 / p_{i}$.

The following lemma gives another (simple) family of bounded matching vectors.
Lemma 14 Let $m$ and $n$ be arbitrary positive integers such that $n$ is even and $m>n / 2$. There exists an ( $n / 2$ )-bounded $\binom{n}{n / 2}$-sized family of matching vectors in $\mathbb{Z}_{m}^{n}$.

Proof: Let $\mathcal{U}$ be the family of all vectors in $\{0,1\}^{n}$ that have weight exactly $n / 2$. For every vector $\mathbf{u}_{\mathbf{i}} \in \mathcal{U}$ set $\mathbf{v}_{i}$ to be its complement, (i.e., $\mathbf{v}_{i}$ is the unique binary vector such that $d\left(\mathbf{u}_{i}, \mathbf{v}_{i}\right)=n$.) It is not hard to see that the family $\mathcal{U}, \mathcal{V}$ is indeed $n / 2$-bounded.

## 5 Upper bounds for MV codes

A combination of lemma 9 and lemma 13 yields
Lemma 15 Let $m=\prod_{i=1}^{t} p_{i}$ be a product of distinct primes. Let $w$ be a positive integer. Suppose integers $\left\{e_{i}\right\}, i \in[t]$ are such that for all $i$, we have $p_{i}^{e_{i}}>w^{1 / t}$. Let $d=\max _{i} p_{i}^{e_{i}}$, and $h \geq w$ be arbitrary. Let $\sigma$ is an arbitrary real number larger than $\sum_{i \in[t]} 1 / p_{i}$. Suppose $m \mid q-1$, where $q=2^{b}$. Further suppose that there exists a binary code $C_{\text {inner }}$ of distance $\mu B$ encoding b-bit messages to $B$ bit codewords; then there exists a binary linear code $C$ encoding $\binom{h}{w}$ b-bit messages to $m\binom{h}{\leq d} B$-bit codewords that is $(m B, \delta, 2 \delta /(\mu-\mu \sigma))$-locally decodable for all $\delta$.

In what follows we estimate asymptotic parameters of our codes.
Lemma 16 There exists $c>1$ such that for all integers $t \geq 2$ and $k \geq 2^{c 2^{t}}$ there exists a binary linear code encoding $k$-bit messages to $\exp \exp \left((\log k)^{1 / t}(\log \log k)^{1-1 / t} t \ln t\right)$-bit codewords that is $\left(t^{O(t)}, \delta, 4 \delta(1+O(1 / \ln t))\right)$-locally decodable for all $\delta$.

Proof: The proof follows by appropriately setting the parameters in lemma 15.

1. By [Sho05, theorem 5.7] there exists a universal constant $c^{\prime}$ such that the range $\left[\left(c^{\prime} / 2\right) t \ln t, c^{\prime} t \ln t\right]$ contains at least $t$ distinct odd primes $p_{1}, \ldots, p_{t}$;
2. Note that $\sum_{i \in[t]} 1 / p_{i}=O(1 / \ln t)$;
3. Set $m=\prod_{i \in[t]} p_{i}$. Clearly, $m=t^{O(t)}$;
4. Set $b$ to be the smallest positive integer such that $m \mid 2^{b}-1$ Clearly, $b=t^{O(t)}$. Set $q=2^{b}$;
5. A standard greedy argument (that is used to prove the classical Gilbert-Varshamov bound [MS77, vL82]) implies that there is a universal constant $c^{\prime \prime}$ such that for all integers $s \geq 1$, there exists a binary linear code of distance $\left(1 / 2-c^{\prime \prime} / \sqrt{s}\right) s^{2}$ encoding $s$-bit messages to $s^{2}$-bit codewords. We set $C_{\text {inner }}$ to be a binary linear code that encodes $b$-bit messages to $B=t^{O(t)}$-bit codewords and has distance $\mu B$, for $\mu \geq\left(1 / 2-c^{\prime \prime} / t^{\Omega(t)}\right)$;
6. We now assume that there exists a positive integer $w$ which is a multiple of $t$ such that $k=w^{w / t}$. Clearly, we have $w=\Theta(t \log k / \log \log k) ;$
7. Following lemma 15 for every $i \in[t]$, let $e_{i}$ be the smallest integer such that $p_{i}^{e_{i}}>w^{1 / t}$. Let $d=\max _{i} p_{i}^{e_{i}}$. Clearly, $d=O\left(w^{1 / t} t \ln t\right)$;
8. Set $h=w^{1+1 / t}$;
9. $k=w^{w / t} \geq 2^{c 2^{t}}$ yields $w^{1 / t}>2$ and $h>2 w$. Therefore $\binom{h}{w} b \geq(h / w)^{w} \geq k$;
10. We set the constant $c$ large enough to ensure that (independent of $t$ ) we have $h>2 d$. This implies $\binom{h}{\leq d} \leq(e h / d)^{d}$. We set $N=m\binom{h}{\leq d} B \leq t^{O(t)+x}$, where $x=O(t)(e w)^{O\left(w^{1 / t} t \ln t\right)}$;
11. We combine lemma 15 with inequalities that we proved above and make basic manipulations to obtain a binary linear code encoding $k$-bit messages to $\exp \exp \left((\log k)^{1 / t}(\log \log k)^{1-1 / t} t \ln t\right)$ bit codewords that is $\left(t^{O(t)}, \delta, 4 \delta(1+O(1 / \ln t))\right)$-locally decodable for all $\delta$.
12. Finally, we note that the assumption about $k=w^{w / t}$, for some $w$ can be safely dropped. If $k$ does not have the required shape, we pad $k$-bit messages with zeros to get messages of length $k^{\prime}$, where $k^{\prime}$ has the shape $w^{w / t}$ and then apply the procedure above. One can easily check that such padding requires a sub-quadratic blow up in the message length and therefore does not affect asymptotic parameters.

Setting $t$ to be a constant in lemma 16 yields
Theorem 17 For every integer $t \geq 2$ and all sufficiently large integers $k$, there exists a binary linear code encoding $k$-bit messages to $\exp \exp \left((\log k)^{1 / t}(\log \log k)^{1-1 / t}\right)$-bit codewords that is $\left(t^{O(t)}, \delta, 4 \delta(1+O(1 / \ln t))\right)$-locally decodable for all $\delta$.

For every constant $t \geq 2$, theorem 17 gives a family of $\left(t^{O(t)}, \delta, 4 \delta(1+O(1 / \ln t))\right)$-locally decodable codes of length essentially identical to the length of the shortest known $\left(2^{O(t)}, \delta, 2^{O(t)} \delta\right)$-locally decodable codes of [Efr09, IS08]. Our codes can tolerate much larger amounts of noise, (i.e., for large values of $t$ our codes tolerate approximately $1 / 8$ fraction of errors, while the fraction of errors tolerated by codes from earlier work drops to zero rapidly.) The improvement comes at a price of a moderate increase in the number of queries.

The following theorem gives asymptotic parameters of our codes in terms of $r$ and $k$.

Theorem 18 For every large enough integer $r$ and every $k$, such that $k>2^{r}$ there exists a binary linear code encoding $k$-bit messages to

$$
\begin{equation*}
\exp \exp \left((\log k)^{O(\log \log r / \log r)}(\log \log k)^{1-\Omega(\log \log r / \log r)} \log r\right) \tag{13}
\end{equation*}
$$

bit codewords that is $(r, \delta, 4 \delta(1+O(1 / \ln \ln r)))$-locally decodable for all $\delta$.
Proof: The proof follows by setting parameters in lemma 16. Set $t$ to be the largest integer such that $t^{O(t)} \leq r$, where the constant in $O$-notation is the same as the one in lemma 16. Assuming $r$ is sufficiently large we have $t=\Theta(\log r / \log \log r)$. One can also check that $k>2^{r}$ implies that the pre-condition of lemma 16 is satisfied. An application of the lemma concludes the proof.

### 5.0.1 Comparison to RM LDCs

Theorem 18 yields the first family of locally decodable codes (other than RM codes) that have super-constant query complexity and tolerate a constant fraction of errors. In this section we provide a comparison between RM codes and our codes.

A Reed Muller locally decodable code [KT00, Tre04, Yek10] is specified by three integer parameters. Namely, a prime power (alphabet size) $q$, number of variables $m$, and the degree $d<q-1$. The $q$-ary code consists of $\mathbb{F}_{q}^{m}$-evaluations of all polynomials in $\mathbb{F}_{q}\left[z_{1}, \ldots, z_{m}\right]$ of total degree at most $d$. Such code encodes $k=\binom{m+d}{d}$-long messages to $q^{m}$-long codewords. Provided that $d<\sigma(q-1)$, the code is $(q-1, \delta, 2 \delta /(1-\sigma)$ )-locally decodable for all $\delta$. If $q$ is a power of 2 non-binary RM LDCs can be turned into binary via concatenation (in a manner similar to the one used in lemma 9). If one does concatenation with an asymptotically good code of relative distance $\mu$ one gets a binary linear code encoding $k$-bit messages to $N$-bit codewords that is $(r, \delta, 2 \delta /(\mu-\mu \sigma))$-locally decodable for all $\delta$, where

$$
\begin{equation*}
k=\binom{m+d}{d} \log q, \quad N=\Theta\left(q^{m} \log q\right), \quad r=\Theta(q \log q) . \tag{14}
\end{equation*}
$$

One can get various asymptotic families of RM LDCs by specifying an appropriate relation between $m$ and $d$ and letting these parameters grow to infinity. Increasing $d$ relative to $m$ yields shorter codes of larger query complexity.

Example 19 Setting $d=m, q=c m$ (for a constant $c$ ), and letting $m$ grow while concatenating with asymptotically good binary codes of relative distance $\mu$ one gets a family of binary LDCs that encode $k$-bit messages to $k^{\Theta(\log \log k)}$-bit codewords and are $(\Theta(\log k \log \log k), \delta, 2 \delta /(\mu-2 \mu / c))$ locally decodable for all $\delta$.

We now argue that RM LDCs are inferior to codes of theorem 18 (with respect to codeword length) for all $r \leq \log k /(\log \log k)^{c}$, where $c$ is a universal constant. To arrive at such a conclusion we need a lower bound on the length of RM LDCs. Let $d, m$, and $q$ be such that formulas (14) yield an $r$-query LDC, where $r$ belongs to the range of our interest. We necessarily have $d \leq m$ (otherwise $r>\log k$ ). Thus

$$
\begin{equation*}
k=\binom{m+d}{d} \log q \leq(e m / d)^{d} \log q \leq m^{O(d)} \tag{15}
\end{equation*}
$$

and $m \geq k^{\Omega(1 / d)}$. Therefore writing $\exp (x)$ to denote $2^{\Omega(x)}$, we have

$$
\begin{equation*}
N \geq \exp \exp (\log k / d) \geq \exp \exp (\log k / r) \tag{16}
\end{equation*}
$$

Note that when $r$ is a constant then already 3 -query codes of [Efr09] improve substantially upon (16). To conclude the argument one needs to verify that there exists a constant $c$ such that for every nondecreasing function $r(k)$, where $r(k)$ grows to infinity, and satisfies $r(k) \leq \log k /(\log \log k)^{c}$, for all sufficiently large $k$ the right hand side of (16) evaluates to a larger value than (13).

Remark 20 It is interesting to observe that while MV codes of theorem 18 improve upon RM LDCs only for $r \leq \log k /(\log \log k)^{c}$, one can get MV codes that (asymptotically) match RM LDCs of example 19 combining lemma 14 (where $m$ has the shape $2^{b}-1$ ) with lemma 9 .

## 6 Matching Vectors: limitations

Let $k(m, n)$ denote the size of the largest family of $S$-matching vectors in $\mathbb{Z}_{m}^{n}$ where we allow $S$ to be an arbitrary subset of $\mathbb{Z}_{m} \backslash\{0\}$. The rate of any locally decodable code obtained via propositions 3,7 , or 8 is at most $k(m, n) / m^{n}$. Our goal in this section is to prove upper bounds on $k(m, n)$. In section 7 we translate these bounds into lower bounds on the length of MV codes. We start by bounding $k(m, n)$ in the prime case.

### 6.1 The Prime Case

We present two bounds for the prime case, the first is based on the linear algebra method [BF92] and is better for small $p$. It is tight when $p=2$. Our second bound beats the linear algebra bound when $p$ is large compared to $n$, it is tight for $n=2$.

Theorem 21 For any positive integer $n$ and any prime $p$, we have

$$
k(p, n) \leq 1+\binom{n+p-2}{p-1}
$$

Proof: Let $\mathcal{U}=\left\{\mathbf{u}_{1}, \ldots, \mathbf{u}_{k}\right\}, \mathcal{V}=\left\{\mathbf{v}_{1}, \ldots, \mathbf{v}_{k}\right\}$ be a family of $S$-matching vectors of $\mathbb{F}_{p}^{n}$, for some $S \subseteq \mathbb{F}_{p}^{*}$. For each $i \in[k]$, we consider the polynomial

$$
P_{i}\left(z_{1}, \ldots, z_{k}\right)=1-\left(\sum_{j=1}^{n} \mathbf{v}_{i}(j) z_{j}\right)^{p-1}
$$

It is easy to see that $P_{i}\left(\mathbf{u}_{i}\right)=1 \bmod p$ whereas $P_{i}\left(\mathbf{u}_{j}\right)=0 \bmod p$ for all $j \neq i$. This implies that the $k$ polynomials $\left\{P_{i}\right\}_{i=1}^{k}$ are linearly independent. But these polynomials all lie in an $\mathbb{F}_{p}$ vector-space of dimension $1+\binom{n+p-2}{p-1}$, since they are spanned by the monomial 1 and all monomials of degree exactly $p-1$ in $z_{1}, \ldots, z_{n}$.

Remark 22 Let $n$ be a positive integer and $p$ be a prime. Suppose $n \geq p$, then

$$
k(p, n) \geq\binom{ n-1}{p-1}
$$

Proof: Take the $\mathbf{u}_{i}$ s to be all vectors in $\{0,1\}^{n}$ of weight $p$ where the first co-ordinate is 1 , and let $\mathbf{u}_{i}=\mathbf{v}_{i}$. A simple calculation shows that $\left(\mathbf{u}_{i}, \mathbf{v}_{i}\right)=0 \bmod p$, whereas for $i \neq j$ we have $\left(\mathbf{u}_{i}, \mathbf{v}_{j}\right) \in\{1, \ldots, p-1\}$.

If $p$ is a constant, the first bound above nearly matches the bound in Theorem 21.
Our second bound comes from translating the problem of constructing matching vectors into a problem about points and hyperplanes in projective space. The $n-1$ dimensional projective geometry $\operatorname{PG}\left(\mathbb{F}_{p}, n-1\right)$ over $\mathbb{F}_{p}$ consist of all points in $\mathbb{F}_{p}^{n} \backslash\left\{0^{n}\right\}$ under the equivalence relation $\lambda \mathbf{v} \equiv \mathbf{v}$ for $\lambda \in \mathbb{F}_{q}^{\star}$. Projective hyperplanes are specified by a vectors $\mathbf{u} \in \mathbb{F}_{p}^{n} \backslash\left\{0^{n}\right\}$ under the equivalence relation $\lambda \mathbf{u} \equiv \mathbf{u}$ for $\lambda \in \mathbb{F}_{p}^{\star}$; such a hyperplane contains all points $\mathbf{v}$ where $\mathbf{u} \cdot \mathbf{v}=0$.

We define a bipartite graph $H(U, V)$ where the vertices on the left correspond to all hyperplanes in $\operatorname{PG}\left(\mathbb{F}_{p}, n-1\right)$, vertices on the right correspond to all points in $\operatorname{PG}\left(\mathbb{F}_{p}, n-1\right)$ and $\mathbf{u}$ and $\mathbf{v}$ are adjacent if $(\mathbf{u}, \mathbf{v})=0$. For $X \subseteq U$ and $Y \subseteq V$, we define $N(X)$ and $N(Y)$ to be their neighborhoods. We use $N(\mathbf{u})$ for the neighborhood of $\mathbf{u}$.

Definition 23 We say that $X \subseteq U$ satisfies the unique-neighbor property if for every $\mathbf{u} \in X$, there exists $\mathbf{v} \in N(\mathbf{u})$ such that $\mathbf{v}$ is not adjacent to $\mathbf{u}^{\prime}$ for any $\mathbf{u}^{\prime} \in X \backslash\{\mathbf{u}\}$.

Lemma 24 Matching vector families in $\mathbb{Z}_{p}^{n}$ are in one to one correspondence with $X \subseteq U$ that satisfy the unique-neighbor property.

Proof: Assume that $X=\left\{\mathbf{u}_{1}, \ldots, \mathbf{u}_{k}\right\}$ satisfies the unique neighbor property. Let $Y=$ $\left\{\mathbf{v}_{1}, \ldots, \mathbf{v}_{k}\right\}$ be such that $\mathbf{v}_{i}$ is a unique neighbor of $\mathbf{u}_{i}$. This implies that $\left(\mathbf{u}_{i}, \mathbf{v}_{i}\right)=0$ and $\left(\mathbf{u}_{i}, \mathbf{v}_{j}\right) \neq 0$ for $i \neq j$. Thus $X, Y$ gives a matching vector family in $\mathbb{Z}_{p}^{n}$.

For the converse, let us start with a matching vector family $\mathcal{U}, \mathcal{V}$. We claim that $\mathbf{u} \in \mathcal{U}$ implies that $\lambda \mathbf{u} \notin \mathcal{U}$ for any $\lambda \in \mathbb{F}_{p}^{\star} \backslash\{1\}$. This is true since $(\mathbf{u}, \mathbf{v})=0$ implies $(\lambda \mathbf{u}, \mathbf{v})=0$, which would violate the definition of a matching vector family. Thus we can associate each $\mathbf{u} \in \mathcal{U}$ with a distinct hyperplane in $\operatorname{PG}\left(\mathbb{F}_{p}, n-1\right)$. Similarly, we can associate every $\mathbf{v}_{j} \in \mathcal{V}$ with a distinct point in $\operatorname{PG}\left(\mathbb{F}_{p}, n-1\right)$. It is easy to see that $\mathbf{v}_{i}$ is a unique neighbor of $\mathbf{u}_{i}$, hence the set $\mathcal{V}$ satisfies the unique neighbor property.

Corollary 25 The size of the largest set $X \subseteq U$ that satisfies the unique neighbor property is $k(p, n)$.

The expansion of the graph $H(U, V)$ was analyzed by Alon using spectral methods [Alo86]. We use the rapid expansion of this graph to bound the size of the largest matching vector family.

Lemma 26 ([Alo86]) Let $u=\frac{p^{n}-1}{p-1}=|U|=|V|$. For any $X \subseteq U$ with $|X|=x$, we have

$$
|N(X)| \geq u-\frac{u^{\frac{n}{n-1}}}{x}
$$

Lemma 27 For any prime p, we have

$$
k(p, n) \leq 4 p^{\frac{n}{2}}
$$

Proof: Pick $X \subset \mathcal{U}$ of size $x$. By Lemma 26,

$$
|N(X)| \geq u-\frac{u^{\frac{n}{n-1}}}{x}
$$

Since every point in $\mathcal{U} \backslash X$ must contain a unique neighbor from $V \backslash N(X)$, we have

$$
|\mathcal{U} \backslash X| \leq|V \backslash N(X)| \leq \frac{u^{\frac{n}{n-1}}}{x} \Rightarrow|\mathcal{U}| \leq \frac{u^{\frac{n}{n-1}}}{x}+x
$$

Picking $x=u^{\frac{n}{2(n-1)}}$ gives

$$
|\mathcal{U}| \leq 2 u^{\frac{n}{2(n-1)}} \leq 2\left(\frac{p^{n}}{p-1}\right)^{\frac{n}{2(n-1)}}=2\left(\frac{p}{p-1}\right)^{\frac{n}{2(n-1)}} p^{n / 2} \leq 4 p^{n / 2}
$$

where the last inequality is a simple calculation.
For $n=2$ or 4 , one can construct matching vector families of size $\Omega(p)$ and $\Omega\left(p^{2}\right)$ respectively, thus one cannot replace $\frac{1}{2}$ with a smaller constant.

### 6.2 The Prime Power Case

Let $p^{e}$ be a prime power. Given a vector $\mathbf{u} \in \mathbb{Z}_{p^{e}}^{n}$, we can write it as $\mathbf{u}=\sum_{\ell=0}^{e-1} \mathbf{v}^{\ell} p^{\ell}$ where $\mathbf{v}^{\ell} \in \mathbb{Z}_{p}^{n}$, by writing each co-ordinate in base $p$. Thus we associate $\mathbf{u} \in \mathbb{Z}_{p^{e}}^{n}$ with the $e$-tuple $\left\{\mathbf{u}^{0}, \ldots, \mathbf{u}^{e-1}\right\}$ in $\mathbb{Z}_{p}^{n}$.

Lemma 28 For $e \geq 2$, we have

$$
k\left(p^{e}, n\right) \leq p^{(e-1) n} k(p, n+1)
$$

Proof: Assume for contradiction that we have a matching family of size $k>p^{(e-1) n} k(p, n+1)$. Write $\mathbf{u}_{i}=\mathbf{u}_{i}^{\prime}+p^{e-1} \mathbf{u}_{i}^{\prime \prime}$ where $\mathbf{u}_{i}^{\prime} \in \mathbb{Z}_{p^{e-1}}^{n}$ and $\mathbf{u}_{i}^{\prime \prime} \in \mathbb{Z}_{p}^{n}$. By the pigeonhole principle, there are $k^{\prime}>k(p, n+1)$ values of $i$ which give the same vector $\mathbf{u}_{i}^{\prime} \in \mathbb{Z}_{p^{e-1}}^{n}$, assume for convenience that the corresponding vectors in $\mathcal{U}$ are $\mathbf{u}_{1}, \ldots, \mathbf{u}_{k^{\prime}}$ with matching vectors $\mathbf{v}_{1}, \ldots, \mathbf{v}_{k^{\prime}}$. We will use these vectors to construct a matching vector family of size $k^{\prime}>k(p, n+1)$ in $\mathbb{Z}_{p}^{n+1}$, which gives a contradiction.

For each $i \in\left[k^{\prime}\right]$, we extend $\mathbf{u}_{i}^{\prime \prime}$ to a vector $\overline{\mathbf{u}}_{i}$ by appending 1 in the last co-ordinate. We write $\mathbf{v}_{i}=\mathbf{v}_{i}^{\prime}+p \mathbf{v}_{i}^{\prime \prime}$ where $\mathbf{v}_{i}^{\prime} \in \mathbb{Z}_{p}^{n}$ and $\mathbf{v}_{i}^{\prime \prime} \in \mathbb{Z}_{p^{e-1}}^{n}$. We extend $\mathbf{v}_{i}^{\prime}$ to a vector $\overline{\mathbf{v}}_{i}$ by appending $\frac{\left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{i}\right)}{p^{e-1}} \in \mathbb{Z}_{p}$ in the last co-ordinate (we will show that this ratio is in fact integral).

We claim that $\left(\overline{\mathbf{u}}_{i}, \overline{\mathbf{v}}_{i}\right)=0 \bmod p$. To see this, observe that

$$
\begin{equation*}
\left(\overline{\mathbf{u}}_{i}, \overline{\mathbf{v}}_{i}\right)=\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{i}^{\prime}\right)+\frac{\left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{i}\right)}{p^{e-1}} \tag{17}
\end{equation*}
$$

But we have

$$
\left(\mathbf{u}_{i}, \mathbf{v}_{i}\right)=\left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{i}\right)+p^{e-1}\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{i}\right) \equiv\left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{i}\right)+p^{e-1}\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{i}^{\prime}\right)=0 \bmod p^{e}
$$

From this we conlude that $\left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{i}\right) \equiv 0 \bmod p^{e-1}$, and that $\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{i}^{\prime}\right)+\frac{\left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{i}\right)}{p^{e-1}}=0 \bmod p$. From Equation 18, we conlcude that $\left(\overline{\mathbf{u}}_{i}, \overline{\mathbf{v}}_{i}\right)=0 \bmod p$.

Next we claim that $\left(\overline{\mathbf{u}}_{i}, \overline{\mathbf{v}}_{j}\right) \neq 0 \bmod p$ for $i \neq j \in\left[k^{\prime}\right]$. Since $\mathbf{u}_{i}^{\prime}=\mathbf{u}_{j}^{\prime}$, we have

$$
\begin{equation*}
\left(\overline{\mathbf{u}}_{i}, \overline{\mathbf{v}}_{j}\right)=\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{j}^{\prime}\right)+\frac{\left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{j}\right)}{p^{e-1}}=\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{j}^{\prime}\right)+\frac{\left(\mathbf{u}_{j}^{\prime}, \mathbf{v}_{j}\right)}{p^{e-1}} \tag{18}
\end{equation*}
$$

But we have

$$
\left(\mathbf{u}_{i}, \mathbf{v}_{j}\right)=\left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{j}\right)+p^{e-1}\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{j}\right) \equiv\left(\mathbf{u}_{j}^{\prime}, \mathbf{v}_{j}\right)+p^{e-1}\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{j}^{\prime}\right) \not \equiv 0 \bmod p^{e}
$$

which implies that $\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{j}^{\prime}\right)+\frac{\left(\mathbf{u}_{j}^{\prime}, \mathbf{v}_{j}\right)}{p^{e-1}} \not \equiv 0 \bmod p$.
This shows that the vectors $\left\{\overline{\mathbf{u}}_{i}\right\}_{i=1}^{k^{\prime}},\left\{\overline{\mathbf{v}}_{j}\right\}_{j=1}^{k^{\prime}}$ give a matching vector family of size $k^{\prime}>k(p, n+$ $1)$, which is a contradiction.

### 6.3 The Composite Case

Lemma 29 Let $m, n$, and $q$ be arbitrary positive integers such that $q \mid m$ and $\left(q, \frac{m}{q}\right)=1$; then

$$
k(m, n) \leq\left(\frac{m}{q}\right)^{n} k(q, n)
$$

Proof: Let us write $\frac{m}{q}=r$. Let $\mathcal{U}=\left\{\mathbf{u}_{1}, \ldots, \mathbf{u}_{k}\right\}, \mathcal{V}=\left\{\mathbf{v}_{1}, \ldots, \mathbf{v}_{k}\right\}$ be a family of $S$ matching vectors of $\mathbb{Z}_{m}^{n}$, for some $S \subseteq \mathbb{Z}_{m} \backslash\{0\}$. For each vector $\mathbf{u} \in \mathbb{Z}_{m}^{n}$ we can define the vectors $\mathbf{u}^{\prime} \equiv \mathbf{u} \bmod q \in \mathbb{Z}_{q}^{n}$ and $\mathbf{u}^{\prime \prime} \equiv \mathbf{u} \bmod r \in \mathbb{Z}_{r}^{n}$. From the definition of a matching vector family, we have that

$$
\begin{aligned}
\left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{i}^{\prime}\right) \equiv 0 \bmod q \text { and }\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{i}^{\prime \prime}\right) \equiv 0 \bmod r \\
\left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{j}^{\prime}\right) \not \equiv 0 \bmod q \text { or }\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{j}^{\prime \prime}\right) \not \equiv 0 \bmod r
\end{aligned}
$$

Assume we have a matching vector family of size $k>\left(\frac{m}{q}\right)^{n} k(q, n)$. By the pigeonhole principle, the vector $\mathbf{u}_{i}^{\prime \prime} \in \mathbb{Z}_{r}^{n}$ is the same for $k^{\prime}>k(q, n)$ vectors $\mathbf{u}_{i}$. Let us assume that these vectors are $\mathbf{u}_{1}, \ldots, \mathbf{u}_{k^{\prime}}$. For these vectors, we have

$$
\begin{aligned}
\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{i}^{\prime \prime}\right) & \equiv 0 \bmod r \\
\left(\mathbf{u}_{i}^{\prime \prime}, \mathbf{v}_{j}^{\prime \prime}\right)=\left(\mathbf{u}_{j}^{\prime \prime}, \mathbf{v}_{j}^{\prime \prime}\right) & \equiv 0 \bmod r \quad \text { for } i \neq j \in\left[k^{\prime}\right]
\end{aligned}
$$

Hence, by the definiton of a matching family, we must have

$$
\begin{aligned}
& \left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{i}^{\prime}\right) \equiv 0 \bmod q \\
& \left(\mathbf{u}_{i}^{\prime}, \mathbf{v}_{j}^{\prime}\right) \not \equiv 0 \bmod q \quad \text { for } i \neq j \in\left[k^{\prime}\right]
\end{aligned}
$$

Thus the vectors $\left\{\mathbf{u}_{1}, \ldots, \mathbf{u}_{k^{\prime}}\right\}$ and $\left\{\mathbf{v}_{1}, \ldots, \mathbf{v}_{k^{\prime}}\right\}$ form a matching family mod $q$, which gives a contradiction.

Theorem 30 Let $m$ and $n$ be arbitrary positive integers. Suppose $p$ is a prime divisor of $m$; then

$$
k(m, n) \leq 4 \frac{m^{n}}{p^{(n-1) / 2}}
$$

Proof: Let $p^{e}$ be the largest power of $p$ which divides $m$. By lemmas 29, 28 and 27 , we get

$$
k(m, n) \leq 4\left(\frac{m}{p^{e}}\right)^{n} p^{(e-1) n} p^{(n+1) / 2} \leq 4 \frac{m^{n}}{p^{(n-1) / 2}}
$$

The above bound is weak when $n$ and $p$ are constants, for instance it is meaningless for $n=1$. We give another bound below which handles the case of small $m$. We start with the case when $n=1$.

Lemma 31 Let $m$ be an arbitrary positive integer; then

$$
k(m, 1) \leq m^{\frac{\log \log \log m}{\log \log m}}=m^{o_{m}(1)}
$$

Proof: Let $m=\prod_{i=1}^{t} p_{i}^{e_{i}}$. Every $u \in \mathbb{Z}_{m}$ can be written in the form $\prod_{i=1}^{t} p_{i}^{f_{i}} c$ where $0 \leq f_{i} \leq e_{i}$ and $c \in \mathbb{Z}_{m}^{*}$. We associate $u$ with the vector $f=\left(f_{1}, \ldots, f_{t}\right)$. For two such vectors, we say $f \leq f^{\prime}$ if $f_{i} \leq f_{i}^{\prime}$ for every $i \in[t]$. Given $u, u^{\prime} \in \mathbb{Z}_{m}$, with associated vectors $f$ and $f^{\prime}$ such that $f \leq f^{\prime}$, we can write $u^{\prime}=\lambda u$ for some $u \in \mathbb{Z}_{m}$, and hence $U, U^{\prime}$ cannot both be included in the matching vector family. Thus it suffices to bound the largest collection of vectors $f$ which form an anti-chain under the $\leq$ relationship. We denote this size of the largest anti-chain by $\ell\left(e_{1}, \ldots, e_{t}\right)$. We note that in fact $k(m, 1)=\ell\left(e_{1}, \ldots, e_{t}\right)$, although we only use it as an upper bound $k(m, 1)$.

Assume by a suitable renumbering that $e_{1}>\cdots>e_{t}$. We will show by induction that the size of the largest anti-chain is no more than $\prod_{i=2}^{t}\left(e_{i}+1\right)$. In the case when $t=1$, it is easy to see that $\ell\left(e_{1}\right)=1$. Assume by induction that $\ell\left(e_{1}, \ldots, e_{t-1}\right) \leq \prod_{i=2}^{t-1} e_{i}$. Consider all vectors in the anti-chain that have $f_{t}=a$ for $0 \leq a \leq e_{t}$. The projection of these vectors onto the first $t-1$ co-ordinates must form an anti-chain, so there can be at most $\prod_{i=2}^{t-1}\left(e_{i}+1\right)$ such vectors. This proves that $\ell\left(e_{1}, \ldots, e_{t}\right) \leq \prod_{i=2}^{t-1}\left(e_{i}+1\right)$.

We now turn to bounding this quantity. Standard bounds from number theory [HW85] imply that $e_{i} \leq \log m, \sum_{i=2}^{t}\left(e_{i}+1\right) \leq \log m+1$, and $t \leq \frac{\log m}{\log \log m}$ where all $\log$ s are to base 2 . From this it follows that

$$
\prod_{i=2}^{t}\left(e_{i}+1\right) \leq\left(\frac{\log m+1}{t}\right)^{t} \leq(\log \log m)^{\frac{\log m}{\log \log m}}=m^{\frac{\log \log \log m}{\log \log m}}
$$

We can use this to give a bound on $k(m, n)$ that is meaningful even for small $n$.
Theorem 32 Let $m$ and $n$ be arbitrary positive integers; then

$$
k(m, n) \leq m^{n-1+o_{m}(1)}
$$

Proof: Given a vector $\mathbf{u} \in \mathbb{Z}_{m}^{n}$, we define the $\mathbb{Z}_{m}$-orbit of $\mathbf{u}$ to be all vectors that can be written as $\lambda \mathbf{u}$ for $\lambda \in \mathbb{Z}_{m}$. Unlike over $\mathbb{Z}_{p}$, these orbits are no longer disjoint. However, we claim that all of $\mathbb{Z}_{m}^{n}$ can be covered by no more $\frac{m^{n}}{\phi(m)}$ orbits, and that each such orbit can contribute at most $k(m, 1)$ vectors to $\mathcal{U}$.

Let $U \subset \mathbb{Z}_{m}^{n}$ denote the set of all vectors $\mathbf{u}$ such that the $G C D$ of the $\mathbf{u}_{i} \mathrm{~s}$ for $i \in[n]$ is 1 . Any vector $\mathbf{u}^{\prime} \in \mathbb{Z}_{m}^{n}$ can be written it as $g \mathbf{u}$ for $\mathbf{u} \in U$. Thus the orbits of vectors in $U$ covers all of $\mathbb{Z}_{m}^{n}$. For $\mathbf{u}, \mathbf{u}^{\prime} \in U$, we say that $\mathbf{u}^{\prime} \equiv \mathbf{u}^{\prime \prime}$ if $\mathbf{u}^{\prime \prime}$ lies in the $\mathbb{Z}_{m}$ orbit of $\mathbf{u}^{\prime}$. It is easy to see that this is indeed an equivalence relation on $U$, which divides $U$ into equivalence classes of size $\phi(m)$. Thus if we pick $U^{\prime} \subset U$ which contains a single representative of each equivalence class, then the orbits of $U^{\prime}$ contain all of $\mathbb{Z}_{m}$. Thus we have $\left|U^{\prime}\right|=\frac{|U|}{\phi(m)} \leq \frac{m^{n}}{\phi(m)}$.

Now consider the orbit of any vector $\mathbf{u}$. Assume that it contributes the vector $\mathbf{u}_{1}=\lambda_{1} \mathbf{u}, \ldots, \lambda_{t} \mathbf{u}$ to $\mathcal{U}$ where $\lambda_{i} \in \mathbb{Z}_{m}$. Assume that the matching vectors in $\mathcal{V}$ are $\mathbf{v}_{1}, \ldots, \mathbf{v}_{t}$. Then it is easy to see that $\mathcal{U}^{\prime}=\left\{\lambda_{1}, \ldots, \lambda_{t}\right\}$ and $\mathcal{V}^{\prime}=\left\{\left(\mathbf{u}, \mathbf{v}_{1}\right), \ldots,\left(\mathbf{u}, \mathbf{v}_{t}\right)\right\}$ are a matching vector family in one dimension, so that $t \leq k(m, 1)$.

Thus we conclude that

$$
k(m, n) \leq \frac{m^{n}}{\phi(m)} k(m, 1) \leq m^{n-1} \cdot \log \log m \cdot m^{\frac{\log \log \log m}{\log \log m}}=m^{n-1+o_{m}(1)}
$$

using $\phi(m) \geq \frac{m}{\log \log m}$ [HW85] and Lemma 31 for $k(m)$.

## 7 Lower bounds for MV codes

We now translate the bounds on matching vector families from the previous section to bounds on the encoding length of matching vector codes. We argue that any family of (non-binary) matching vector codes, (i.e., codes that for some $m$ and $n$, encode $k(m, n)$-long messages to $m^{n}$-log codewords) has an encoding blow-up of at least $2^{\Omega(\sqrt{\log k})}$.

Theorem 33 Consider an infinite family of Matching Vector codes $C_{\ell}: \mathbb{F}_{q}^{k} \rightarrow \mathbb{F}_{q}^{N}$ for $\ell \in \mathbb{Z}$, where $k=k(\ell)$ and $N=N(\ell)$ go to infinity with $\ell$. For large enough $\ell$, we have

$$
k \leq \frac{N}{2^{0.4 \sqrt{\log N}}}, \quad N \geq k 2^{0.4 \sqrt{\log k}}
$$

Proof: For each $\ell$, we have a family of matching vectors in $\mathbb{Z}_{m}^{n}$ where $m, n$ depend on $\ell$. We have $N=m^{n}$ while $k \leq k(m, n)$.

First assume that $n>\sqrt{\log N}$. Then by Theorem 30 with $p$ a prime divisor of $m$, we have

$$
k \leq \frac{4 m^{n}}{p^{\frac{n-1}{2}}} \leq \frac{4 N}{2^{0.5 \sqrt{\log N-\frac{1}{2}}} \leq \frac{N}{2^{0.4 \sqrt{\log N}}} \text {. }}
$$

where the last inequality holds for large enough $N$, and hence for all large $\ell$.
Hence assume that $n \leq \sqrt{\log N}$ so that $m \geq 2^{\sqrt{\log N}}$. As $\ell$ goes to infinity, $N$ and hence $m$ go to infinity. So for large enough $\ell$, Theorem 32 gives $k(m, n) \leq m^{n-1+o_{m}(1)} \leq m^{n-0.9}$. Hence

$$
k \leq \frac{m^{n}}{m^{0.9}} \leq \frac{N}{2^{0.9 \sqrt{\log N}}}
$$

Thus $k \leq \frac{N}{2^{0.4 \sqrt{\log N}}}$ for large enough $\ell$. This implies that $N \geq k 2^{0.4 \sqrt{\log k}}$ for large enough $\ell$.
One can generalize theorem 33 to get a similar statement for binary MV codes (i.e., codes obtained by a concatenation of a non-binary MV code with binary code of a good distance).

### 7.1 Comparison with RM LDCs

Here we observe that it is possible to construct binary RM LDCs that have a blow-up of 2 ( $\sqrt{\log k})$ and query complexity of $(\log k)^{O(\sqrt{\log k})}$. By formula (14) the relative redundancy of any RM LDC specified by parameters $m, d$ and $q$ is given by

$$
k / N \leq O\left(\binom{m+d}{d} / q^{m}\right)
$$

We assume that $m<d$; then $\binom{m+d}{d} \leq(2 e d / m)^{m}$. Therefore (relying of $d \leq q$ ) we get

$$
k / N \leq O\left((2 e / m)^{m}\right)
$$

Thus to have relative redundancy smaller than $2^{O(\sqrt{\log k})}$ it suffices to have

$$
\begin{equation*}
m=O(\sqrt{\log k} / \log \log k) \tag{19}
\end{equation*}
$$

Given $k$ we choose $m$ to be the largest integer satisfying (19). Next we choose $d$ to be the smallest integer satisfying $k \leq\binom{ m+d}{d} \log q$. One can easily check that this yields $d=(\log k)^{O(\sqrt{\log k})}$, giving an RM LDCs with desired parameters.

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## 8 Appendix

Our goal here is to prove the following
Lemma 11 Let $m=\prod_{i=1}^{t} p_{i}$ be a product of distinct primes. Let $w$ be a positive integer. Suppose integers $\left\{e_{i}\right\}, i \in[t]$ are such that for all $i$, we have $p_{i}^{e_{i}}>w^{1 / t}$. Let $d=\max _{i} p_{i}^{e_{i}}$, and $h \geq w$ be arbitrary. Let $S$ be the canonical set modulo $m$; then there exists an $\binom{h}{w}$-sized family of $S$-matching vectors in $\mathbb{Z}_{m}^{n}$, where $n=\binom{h}{\leq d}$.

Our construction of the matching family is modeled along the lines of Grolmusz's construction of a set system with restricted intersections modulo composites [Gro00, Gro02]. His construction uses the low-degree OR representations of Barrington et al. [BBR94]. However, we will use Lemma 35 to bypass the set system and go directly to the matching family from polynomials. In addition to being more direct, this also gives a slightly larger collection of vectors.

Definition 34 Let $S \subseteq \mathbb{Z}_{m} \backslash\{0\}$. We say that a set of polynomials $\mathcal{F}=\left\{f_{1}, \ldots, f_{k}\right\} \subseteq \mathbb{Z}_{m}\left[z_{1}, \ldots, z_{h}\right]$ and a set of points $\mathcal{X}=\left\{\mathbf{x}_{1}, \ldots, \mathbf{x}_{k}\right\} \subseteq \mathbb{Z}_{m}^{h}$ form a polynomial S-matching family of size $k$ if

- For all $i \in[k], f_{i}\left(\mathbf{x}_{i}\right)=0$;
- For all $i, j \in[k]$ such that $i \neq j, f_{j}\left(\mathbf{x}_{i}\right) \in S$.

Let $\mathcal{F}, \mathcal{X}$ be a $k$-sized polynomial matching family. For $i \in[k]$, let $\operatorname{supp}\left(\mathrm{f}_{\mathrm{i}}\right)$ denote the set of monomials in the support of the polynomial $f_{i}$. We define $\operatorname{supp}(\mathcal{F})=\bigcup_{\mathrm{i}=1}^{\mathrm{k}} \operatorname{supp}\left(\mathrm{f}_{\mathrm{i}}\right)$ and $\operatorname{dim}(\mathcal{F})=$ $|\operatorname{supp}(\mathcal{F})|$. The following lemma was observed by Sudan [Sud09].

Lemma 35 An $k$-sized polynomial $S$-matching family $\mathcal{F}, \mathcal{X}$ over $\mathbb{Z}_{m}$ yields a $k$-sized $S$-matching family $\mathcal{U}, \mathcal{V}$ in $\mathbb{Z}_{m}^{n}$, where $n=\operatorname{dim}(\mathcal{F})$.

Proof: Let $\operatorname{mon}_{1}, \ldots, \operatorname{mon}_{\mathrm{n}}$ be the set of monomials in $\operatorname{supp}(\mathcal{F})$. For every $j \in[k]$ we have

$$
f_{j}\left(z_{1} \ldots, z_{h}\right)=\sum_{l=1}^{n} c_{j l} \operatorname{mon}_{1} .
$$

We define the vector $\mathbf{u}_{j}$ to be the $n$-dimensional vector of coefficients of the polynomial $f_{j}$. Similarly, for $i \in[k]$, we define the vector $\mathbf{v}_{i}$ to be the vector of evaluations of monomials mon $_{1}, \ldots$, mon $_{n}$ at the point $\mathbf{x}_{i}$. It is easy to check that for all $i, j \in[k],\left(\mathbf{u}_{j}, \mathbf{v}_{i}\right)=f_{j}\left(\mathbf{x}_{i}\right)$ and hence the sets $\mathcal{U}, \mathcal{V}$ indeed form an $S$-matching family.

In what follows we assume that parameters $m, t,\left\{p_{i}\right\}_{i \in[t]},\left\{e_{i}\right\}_{i \in[t]}, w, h$, and the set $S$ satisfy the precondition of lemma 11. Theorem 2.16 in [Gop06] yields

Lemma 36 For every $i \in[t]$, there is an explicit multilinear polynomial $f_{i}\left(z_{1}, \ldots, z_{h}\right) \in \mathbb{Z}_{p_{i}}\left[z_{1}, \ldots, z_{h}\right]$ where $\operatorname{deg}\left(f_{i}\right) \leq p_{i}^{e_{i}}-1$ such that for $\mathbf{x} \in\{0,1\}^{h}$, we have

$$
f_{i}(\mathbf{x}) \equiv \begin{cases}0 \bmod p_{i}, & \text { if } \sum_{l=1}^{h} \mathbf{x}(l) \equiv w \bmod p_{i}^{e_{i}}, \\ 1 \bmod p_{i}, & \text { otherwise } .\end{cases}
$$

Corollary 37 There is an explicit multilinear polynomial $f\left(z_{1}, \ldots, z_{h}\right) \in \mathbb{Z}_{m}\left[z_{1}, \ldots, z_{n}\right]$ such that for all $\mathbf{x} \in\{0,1\}^{h}$, we have

$$
f(\mathbf{x})= \begin{cases}0 \bmod m, & \text { if } \sum_{l=1}^{h} \mathbf{x}(l)=w \\ s \bmod m, \text { for } \mathrm{s} \in \mathrm{~S}, & \text { if } \sum_{l=1}^{h} \mathbf{x}(l)<w\end{cases}
$$

where coordinates of $\mathbf{x}$ are summed as integers.
Proof: Define the polynomial $f$ so that for all $i \in[t], f\left(z_{1}, \ldots, z_{h}\right) \equiv f_{i}\left(z_{1}, \ldots, z_{h}\right) \bmod p_{i}$. We claim that it satisfies the above requirement. Observe that by the Chinese remainder theorem

$$
f(\mathbf{x})=0 \bmod m \quad \text { iff } \quad \text { for all } i \in[t], \sum_{l=1}^{h} \mathbf{x}(l) \equiv w \bmod p_{i}^{e_{i}}
$$

This is equivalent to saying that

$$
\sum_{l=1}^{h} \mathbf{x}(l) \equiv w \bmod \prod_{i} p_{i}^{e_{i}}
$$

Note that for all $i \in[t], p_{i}^{e_{i}}>w^{1 / t}$. Hence $m=\prod_{i} p_{i}^{e_{i}}>w$. Thus whenever the integer sum $\sum_{l=1}^{h} \mathbf{x}(l)<w$, we have $\sum_{l=1}^{h} \mathbf{x}(l) \not \equiv w \bmod m$, which proves the claim.

Proof of lemma 11: For every $T \subseteq[h]$ of size $w$, define the polynomial $f_{T}$ wherein the polynomial $f$ from corollary 37 , we set $z_{j}=0$ for $j \notin T$ (but $z_{j}$ stays untouched for $j \in T$ ). Define $\mathbf{x}_{T} \in\{0,1\}^{h}$ to be the indicator of the set $T$. Viewing vectors $\mathbf{x} \in\{0,1\}^{h}$ as indicator vectors $\mathbf{x}_{L}$ for sets $L \subseteq[h]$, it is easy to check that for all $T, L \in[h], f_{T}\left(\mathbf{x}_{L}\right)=f\left(\mathbf{x}_{L \cap T}\right)$. Combining this with Corollary 37 gives

- For all $T \subseteq[h]$, where $|T|=w, f_{T}\left(\mathbf{x}_{T}\right)=f\left(\mathbf{x}_{T}\right) \equiv 0 \bmod m$,
- For all $T \neq L \subseteq[h]$, where $|T|=|L|=w, \quad f_{T}\left(\mathbf{x}_{L}\right)=f\left(\mathbf{x}_{L \cap T}\right) \in S \bmod m$,
where the second bullet follows from the observation that $|L \cap T| \leq w-1$. Thus the set of polynomials $\mathcal{F}=\left\{f_{T}\right\}_{T \subset[h],|T|=w}$ and points $\mathcal{X}=\left\{\mathbf{x}_{T}\right\}_{T \subset[h],|T|=w}$ form a polynomial $S$-matching family.

It is clear that $k=|\mathcal{F}|=\binom{h}{w}$. To bound $n$, we note that $\operatorname{deg}(f) \leq d$ and $f$ is multilinear. Thus we can take $\operatorname{supp}(\mathcal{F})$ to be the set of all multilinear monomials in $z_{1}, \ldots, z_{h}$ of degree at most $d$. Thus $\operatorname{dim}(\mathcal{F})=\binom{h}{\leq d}$.


[^0]:    *An early version of this work has appeared as an ECCC report [Gop09].

[^1]:    ${ }^{1}$ It is interesting to contrast our work with the work of Woodruff [Woo08] who obtained a non-linear transformation that (in certain circumstances) allows one to reduce LDC codeword length at a price of a loss in the value of $\delta$.

